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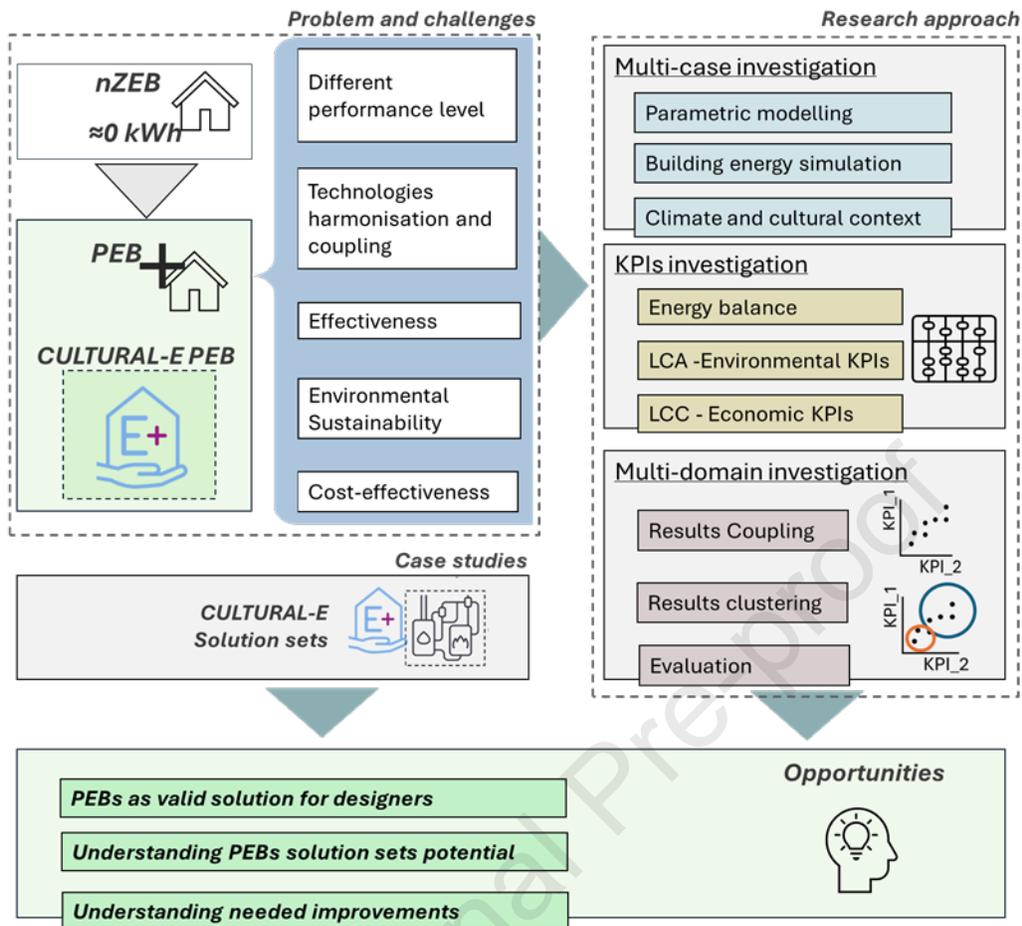
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1 **Effectiveness and Sustainability of solutions sets aimed at Plus Energy Buildings. A multi-case and multi-**
2 **domain investigation**

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11
12 **Abstract. Purpose.** Plus Energy Buildings (PEBs) are gaining attention in construction for providing advantages
13 not only on the singular building but also on higher levels, namely neighbourhoods and national grids. Different
14 from the current standard of net zero energy buildings, PEBs lack broader and holistic investigations that
15 consider energy, environmental, and economic performance indicators. These are needed to evaluate their often-
16 debated effectiveness and environmental sustainability. To this purpose, this work assesses technical equipment
17 functional systems aimed at PEBs by considering energy, environmental and cost performance indicators and
18 by carrying out a multi-case and multi-domain investigation. **Method:** A parametric modelling is carried out
19 based on building energy simulations and user energy profile modelling in 16 case studies. Relevant Key
20 Performance Indicators are derived and followed to attempt result clustering and to derive general considerations
21 for the designed solution. **Finding:** The study showed that the effectiveness of such systems is limited if PV
22 modules are located on the roof exclusively. Moreover, heat pumps and PV technologies need to be better
23 coupled and harmonised in subarctic regions. Overall, centralised systems perform better, and environmental
24 and economic advantages depend on the national energy and economic context. Such results can be considered
25 valid under the same conditions and circumstances; therefore, an extension of case studies is needed.

26
27 **Keywords:** Plus Energy Buildings; PEB; energy efficiency; Building energy simulation; Life Cycle Assessment;
28 Life Cycle Cost.

29

30 **List of Abbreviations and Nomenclature**

APL	Appliances
BoM	Bill of Materials
DHW	Domestic Hot Water
DIS	Distribution system
GWP	Global Warming Potential
HMS	House Management System
HP	Heat Pump
HR	High Rise Building
KPI	Key Performance Indicator
LGT	Lightning
LCA	Life Cycle Assessment
LCC	Life Cycle Cost
LCI	Life Cycle Inventory
LCIA	Life Cycle Impact Assessment
LOD	Level of Detail
LR	Low Rise Building
NFA	Net Floor area
NPV	Net Present Value
nZEB	Net Zero Energy Building
PEB	Plus (or Positive) Energy Building
PIP	Pipework (including Valves, Heat Exchangers and Circulation Pumps)
PWG	Power Generation
PV	Photovoltaic systems
SC	Space Cooling
SH	Space Heating
SS1	Solution set, the building functional system having installation - Centralised
SS2	Solution set, the building functional system having installation - Decentralised
TES	Thermal Energy Storage
VEN	Ventilation system

32 1 Introduction

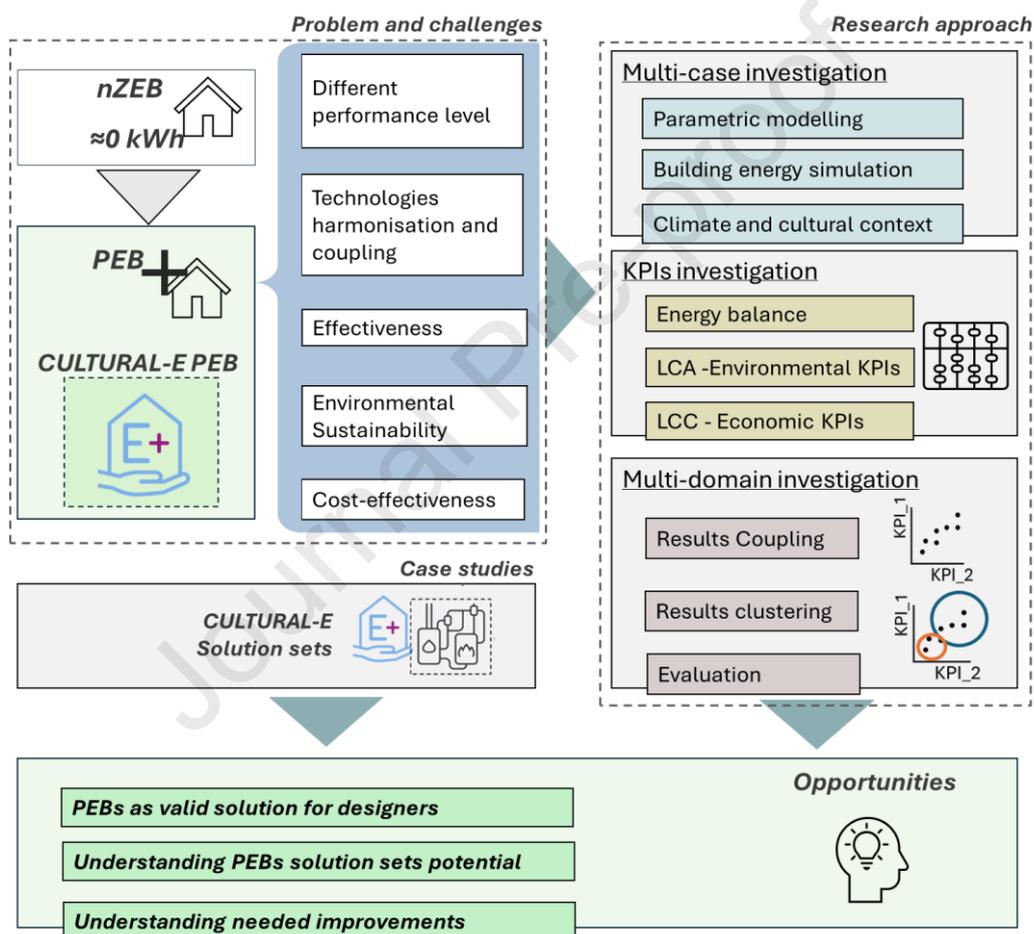
33 Improving building energy efficiency is currently one of the most commonly adopted policies at the national
34 level to reduce emissions, as required for countries under the Paris Agreement [1]. In the European context, the
35 EU has established a legislative framework that includes the Energy Performance of Buildings Directive (EPBD)
36 (Directive 2010/31/EU) and the Energy Efficiency Directive 2012/27/EU. Together, the directives promote
37 policies for EU countries that will help to 1) achieve a highly energy-efficient and decarbonised building stock
38 by 2050; 2) create a more environmentally aware investment environment; 3) enable consumers and businesses
39 to make more informed choices to save energy and money [2]. In this context, Zero Energy Buildings (ZEBs)
40 are required as a minimum standard for new and renovated buildings and have become a part of the energy
41 policy in several countries [3,4]. For instance, all new buildings and deep renovations constructed in the
42 European Union from 2021 must be nearly Zero Energy Buildings (nZEBs) by 2050 [3]. However, more efforts
43 are still required. The construction sector is one of the main contributors to greenhouse gas (GHG) emissions,
44 and higher energy performance should be investigated, especially for new buildings, aiming to reduce embodied
45 and operational environmental impacts [5,6].

46 Thanks to extensive research to improve building performance and efficient technologies and equipment for the
47 building sector, nZEB can be improved one step further to achieve the so-called *Plus* (or Positive) Energy
48 Building (PEB) [7,8]. The topic of PEB has received increasing attention in recent years, and it is foreseen to
49 become part of the energy policy in several countries. One of the main barriers to including PEBs in energy
50 policy is the lack of literature on a harmonised definition [8]. This leads to different practices and strategies for
51 achieving a PEB [9–11]. The literature review provided by Hawila et al. [9] demonstrated, e.g., that most of the
52 studies on nZEB and PEB do not present a common way to balance energy consumption with renewable energy
53 (RE) production. Physical boundaries can be established in several ways [12], and user-related energy
54 consumptions are evaluated differently [9]. As a result, some examples in the literature demonstrated reduced
55 energy gains. Buildings designed as PEB perform as nZEB due to additional user-related operational energy
56 consumption [13,14].

57 To tackle these issues, a different definition and evaluation approach to PEBs is provided by Hawila et al. [9],
58 and demonstrated in CULTURAL-E. As conceived in CULTURAL-E, PEBs exploit the so-called “operational
59 approach”, where the energy balance is measured or predicted by considering the final energy between load and
60 generation related to each single energy vector [9]. In addition, in order to offer a substantial *plus* in different
61 domains, key functional requirements of Plus Energy Buildings are established, related to, e.g., the interaction
62 with the energy grid, cost-effectiveness, environmental sustainability and indoor environmental quality [9].

63 An evaluation based on different perspectives is needed for such defined PEB systems to demonstrate their
64 effectiveness, cost-effectiveness, and environmental sustainability metrics. Overall, a few works in the literature
65 attempted a more holistic evaluation of PEBs [15–18]. As a result of these investigations, the environmental
66 advantages of PEBs are questioned due to their higher amount of components and consequent increase of
67 embodied impacts [18]. Analogously, due to objectionable cost-effectiveness [10,15], optimisation strategies are
68 required. Overall, there is a lack of studies that consider at least three domains, energy, environmental, and
69 economic performance, which are essential to assess PEB systems.

70 Given the above, the main problem of this study is the investigation of the effectiveness of PEBs, as conceived
 71 in CULTURAL-E [9]. The effectiveness in terms of energy performance and building energy balance is
 72 evaluated along with economic and environmental Key Performance Indicators (KPIs), which measure their
 73 environmental sustainability and cost-effectiveness. Objectives of the analyses are, in particular, *solution sets*
 74 (SS) aimed at PEBs. Depending on their overall functional logic, they are groups of building installations
 75 classified as centralised or decentralised. This problem is particularly challenging since all technical installations
 76 can perform differently based on the climate and cultural contexts and building archetypes where they are
 77 allocated. An additional challenge in energy performance is represented by the use of specific technologies, e.g.,
 78 photovoltaics, which only ensure that a building will behave as a PEB sometimes. These technologies need,
 79 therefore, to be correctly coupled and controlled in a harmonised way.



80

81

Figure 1. Research approach for the investigation of solution sets aimed at PEBs.

82 To tackle this problem and the consequent challenges, the research follows an approach based on *multi-case* and
 83 *multi-domain* investigation (see Figure 1). The multi-case analysis is provided in this study to provide various
 84 examples with different solution set types, building archetypes, climate and cultural (national) contexts. It also
 85 allows us to perform a parametric modelling of the solution set. As a novelty of this study, compared with
 86 previous works [19,20], an enlarged set of KPIs is established to assess such systems. These are based on
 87 building energy simulations, user energy profile modelling, environmental Life Cycle Assessment (LCA), and
 88 Life Cycle Cost (LCC). Results are first evaluated for each domain (energy, environmental and economic
 89 performance). Analyses on different domains allow for verifying the solution's effectiveness and sustainability,

90 identifying trade-offs, and better harmonising and coupling different technological solutions. Finally, the multi-
 91 case and multi-domain investigation allows us to attempt result clustering and derive general considerations for
 92 the designed SS type, building type, climate, and cultural contexts.

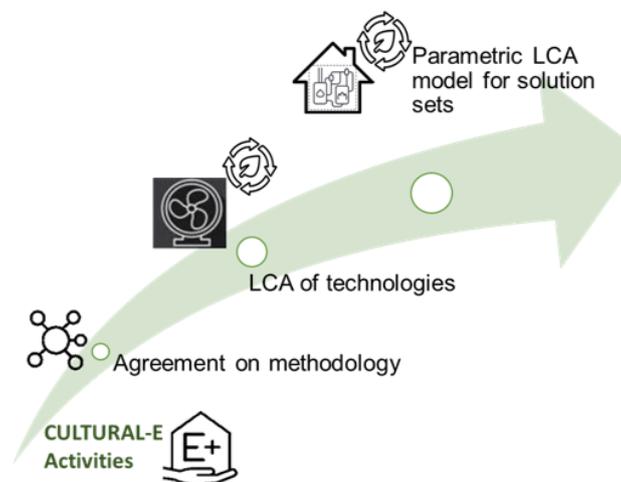
93 This research provides opportunities in the current context of building and energy sector decarbonisation.
 94 Suppose the advantages of such PEB systems are demonstrated in terms of energy, economic and environmental
 95 performance. In that case, PEB can represent a valid solution for designers to reduce building energy
 96 consumption and to increase the share of produced renewable energy for national grids. With a particular focus
 97 on results obtained by energy simulations, LCA and LCC and the results' coupling allow us to understand in
 98 which circumstances the designed solution sets perform better and where improvements are needed.

99 2 Materials and methods

100 In this section, methods applied for this work are outlined. Firstly, LCA and LCC methodologies are presented.
 101 The KPIs used for the assessment are described, along with the specifications and assumptions used for LCA
 102 and LCC analyses. Section 2.3 outlines the procedure for the solution set parametric modelling based on building
 103 energy and user energy profile simulation activities. Section 2.4 presents the data structure and requirements
 104 used to compile the Bill of Materials (BoM) for LCA and LCC analyses.

105 2.1 Life Cycle Assessment (LCA)

106 Through LCA (ISO 14040 – 14044) [21,22], it is possible to evaluate potential environmental impacts associated
 107 with identified inputs and releases over all the stages of a product's life. While most building LCA consider 3
 108 levels of assessments (product, functional system and building level), in CULTURAL-E, a more suitable
 109 framework for life cycle assessment (LCA) is established [19,20], which considers the experience of
 110 practitioners and the latest development in PEBs (see Figure 2). After an agreement on the overall methodology,
 111 as a first step, LCA is performed on each technology to understand the environmental potential and optimisation
 112 possibilities. As a second step, parametric LCA models for evaluating building solution sets are produced (see
 113 Section 2.3), and relevant KPIs are evaluated.



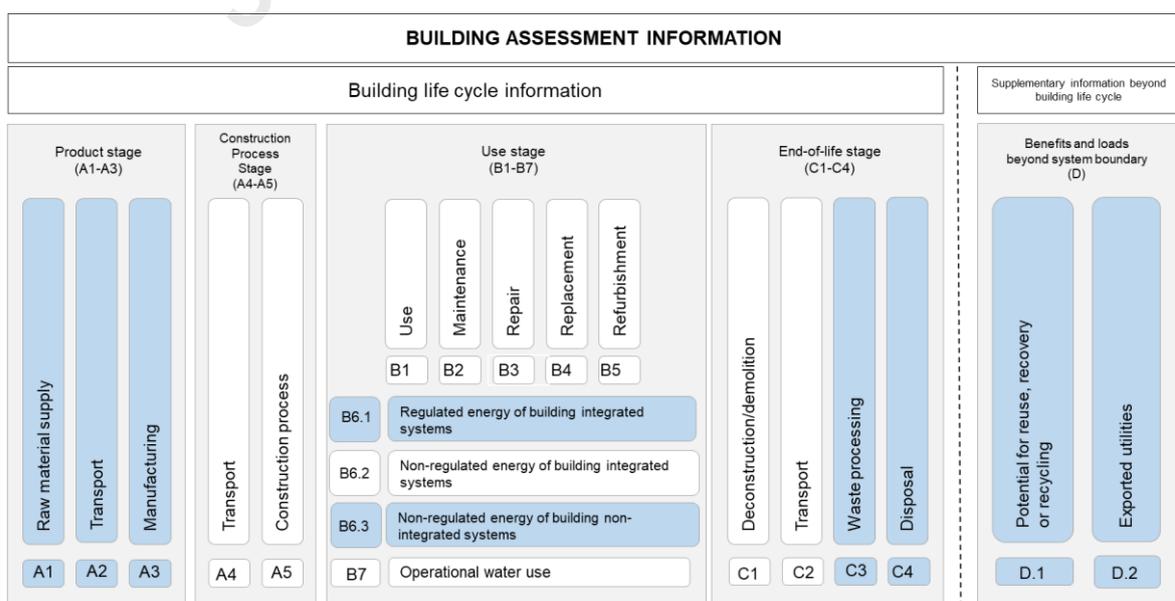
114
 115 **Figure 2.** Overall procedure for parametric LCA analyses of solution sets. Source: [20].
 116 Each solution set also considers outcomes and feedback from LCA analyses on the technology level. EN 15804
 117 [23] served for the environmental analysis of technologies based on core rules for the product category and

118 Environmental Product Declaration (EPD). EN 15978 provided the calculation method for LCA of solution sets
119 and buildings [24].

120 The performed technologies' LCA analyses are "decontextualised" from the building, its use destination, users,
121 and their climate and cultural context [19]. Consequently, the analyses are cradle-to-grave but without
122 consideration of an operational stage, which depends on the building envelope's characteristics and the final use
123 destination. Differently, solution sets and PEB analyses are carried out through assessments that refer to a
124 specific building within a particular climate and cultural context. With regard to solution sets, which are the
125 focus of the provided investigation, these can be classified as a *functional system* and a group of building
126 products for which the system boundary for the life cycle assessment follows the EN 15804 standard rules [23].
127 The functional system is defined and designed in a specific manner (Level of Detail – LOD - 400) to reduce the
128 risks related to uncertainty or lacking information, typical of early design stages [25]. This also allows the
129 selection of available EPDs corresponding to the considered technological components and guarantees the
130 reliability of environmental information. A cradle-to-grave system boundary, which includes upstream, core,
131 and downstream processes, is considered. The yearly energy balance will define the operational energy. The
132 energy demand evaluation includes building operation and user behaviour (B6.3) [24] by considering occupancy,
133 plug loads, and lightning usage. Other non-regulated building-related-technical systems (B6.2) [24] are not
134 considered in the analysis; these include security and communication systems and e-vehicle charging (see
135 Section 2.3).

136 Hence, the system boundaries of the analysis will therefore include the following lifecycle stages [24]:

- 137 • the product stage (modules A1-A3),
- 138 • Energy for building operation (module B6.1)
- 139 • User behaviour (module B6.3)
- 140 • the end-of-life (EOL) stage (C1-C4)
- 141 • benefits and credits due to recycling (D.1- D.2) (see Figure 3) [24].



142

143 **Figure 3.** Life cycle stages are considered for the LCA of the technological components in a PEB based on prEN 15978 [24].

144 As for previous works [19], a 30-year assessment period is selected for solution sets as a simplification. This
 145 choice also solves issues related to the modelling of replacement and uncertainties due to the systems' lifecycle.
 146 A service life equal to today's technology is assumed. Furthermore, the technologies do not change their
 147 environmental profile over time to ensure a conservative approach.

148 As environmental KPIs, the Global Warming Potential total (according to EN15804 + A2[23]) is calculated for
 149 a) embodied impacts and b) over 30 years of building operation (see Table 1). The values are divided by the net
 150 surface area (NFA), expressed in m². This also represents the functional unit of the analysis. Differently from
 151 energy performance analyses, for environmental KPI, *negative* values refer to environmental credits, while
 152 positive values refer to are caused by resource consumption. For instance, positive energy balances lead to
 153 negative values of operational environmental impacts. Lastly, to assess environmental advantages due to
 154 environmental credits due to PV installations, the environmental payback period (PB) is calculated as a further
 155 environmental KPI. PB periods are estimated by considering dynamic national energy grids as suggested in the
 156 EU Reference Scenario 2020 [26] and carried out in previous works [19].

157 **Table 1:** Environmental KPIs definitions.

Environmental KPI	Description	Unit
Embodied GWP	GWP impact (according to EN15804 + A2[23]) of Production stage (A1-A3[24]) and end-of-life (C3-C4-D1[24]) of installed solution set.	kg CO ₂ eq./m ²
Total GWP	Total lifecycle GWP (according to EN15804 + A2[23]) impact, including also 30 years building operation	kg CO ₂ eq./m ²
Payback period (PB)	Time to pay back the initial environmental investment (due to installation of the solution set) through environmental credits (due to PV energy production). Dynamic energy grids are considered.	years

158
 159 Overall, the approach aims to reduce uncertainties, which might lead to significant deviations in results, impact
 160 underestimations, and environmental credit overestimations.

161 **2.2 Life cycle cost (LCC)**

162 Through Life Cycle Costing (ISO 15686-5 [15]), a valuable technique is available for predicting and assessing
 163 the cost performance of constructed assets. Figure 4 shows the elements of LCC as part of the Whole Life cost
 164 (WLC). It is essential to mention that income, externalities, and non-construction costs are not part of the LCC.
 165 System boundaries of LCC analyses slightly differ from LCA. Due to a lack of information, the end-of-life costs
 166 of the investigated systems are not considered within system boundaries. Therefore, the information accounts
 167 for construction, operation and maintenance costs. Unlike LCA, maintenance is considered, as deemed relevant,
 168 regarding their associated costs.

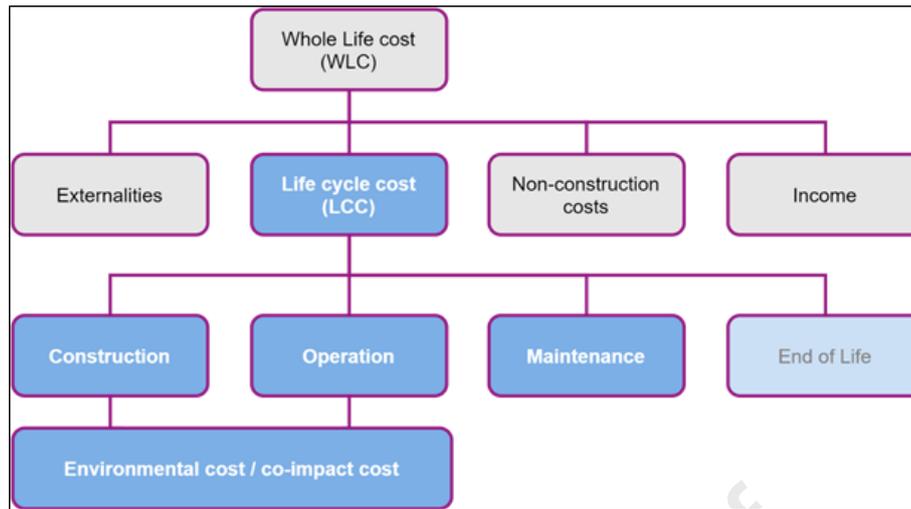


Figure 4. Scheme for Life Cycle Cost (LCC in blue) following ISO 15686-5 [27].

169
170
171 The economic efficiency of investments can be established with various methods, e.g., based on net present
172 value (NPV), annuity, internal rate of return, amortisation, or by using a complete budget plan. According to
173 ISO 15686-5:2017 [27], the LCC of a building is the NPV, which is the sum of the discounted costs, revenue
174 streams, and value during the phases of the selected life cycle period. In this work, the authors refer to the VDI
175 guideline 2067 [28] and the annuity method. The annuity procedure allows for summarising both one-off
176 payments/investments and ongoing payments during a specific observation period using the annuity factor a . It
177 also includes a price increase factor and considers the residual value. Costs are subdivided into one-off costs and
178 current costs. The total annual costs are the annuity of the total costs over the observation period, set at 30 years.

179 **Table 2.** Economic KPIs definitions.

Economic KPI	Description	Unit
Investment cost	All initial costs that need to be covered until an energy efficiency measure is fully implemented	€/m ²
Capital cost	Capital-related costs, including investment and replacement	€/m ²
Operation-related cost	Energy cost, operational cost, revenues from energy sales	€/m ²
Maintenance cost	Maintenance and service cost for technical building services: heating, cooling, ventilation, electricity from PV	€/m ²
Life Cycle Cost (LCC)	Value of the total annual cost of building usage for the whole calculation period (annuity)	€/m ²

180
181 Table 2 reports the economic Key Performance indicators (KPI) selected for the assessment of CULTURAL-E
182 building systems. Initial investment refers to the costs related to the implementation of the measure. Together
183 with replacement expenditure, these are capital costs. In particular, replacement due to a limited service life of
184 the technical components can be significant over the entire service life and is deemed as capital cost. The analysis
185 is based on standard values from EN 15459-1:2018 [29] that provide yearly maintenance costs for each element,
186 including operation, repair, and service, as a percentage of the initial construction cost. As an advantage, the
187 standard also provides a detailed breakdown of the HVAC and electrical systems costs, which are relevant for
188 assessing solutions sets and PEBs. The savings from the self-use of the produced energy and the revenues from
189 selling are considered.

190 As for LCA analyses, all costs are divided by the building's NFA (expressed in m²). For all other construction
191 costs, the level of detail is lower and based on area-specific data. Furthermore, negative values also refer to
192 environmental losses, while negative values are used for credits.

193 Cost information is extracted from national statistics and direct producers' information to use more reliable
194 information. Key issues that can affect LCC results and are significant sources of uncertainties are cost
195 fluctuations. For this reason, this study assumes that discount and price increase rates are 1.0% for all costs,
196 which is a value consistent with the current interest rate situation and its forecast. This choice also allows us to
197 obtain conclusions based on cost differences among variants deemed more relevant for the study. These are not
198 affected by the used rates.

199 **2.3 Solution set parametric modelling and energy simulations**

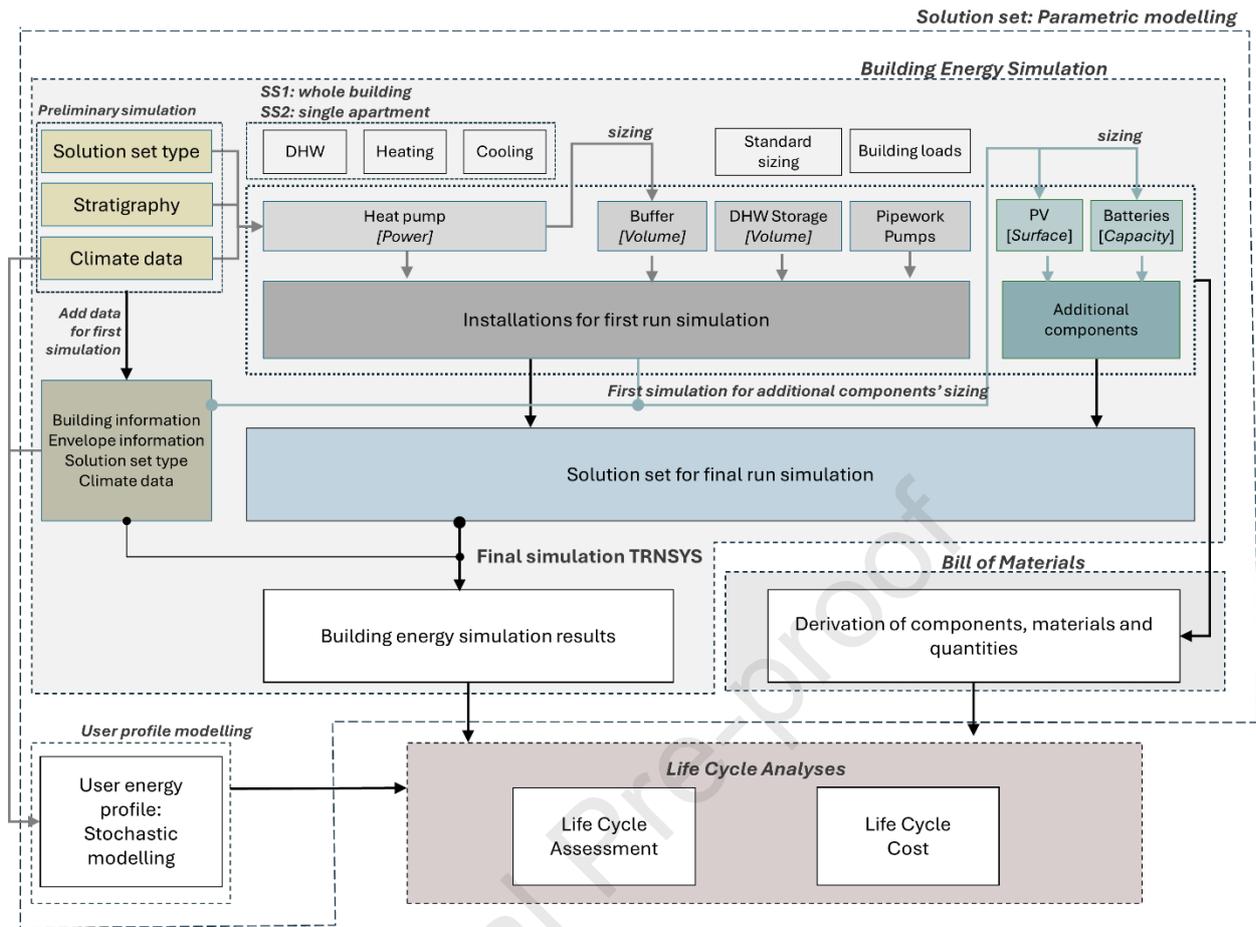
200 The procedure for the parametric modelling of solution sets is described in Figure 5 and entails three main
201 phases:

- 202 • The *building energy simulation* activities deriving the operational energy balance.
- 203 • A *user energy profile modelling* for the estimation of user-related energy consumption.
- 204 • The *extraction of the bill of materials*, i.e., the derivation of components' materials and quantities.

205 Parameters of the first stage entail the power and capacity of the technical installation components and building
206 envelope characteristics dictated by climate conditions. The parameter for the second stage is the final user
207 energy consumption, which is dictated by climate data and building type. The power and capacity of the technical
208 installation components are also used to extract the bill of materials.

209 Details regarding the building simulation activities and user energy profile are described in the following section.

210 Details regarding compiling the bill of materials and data requirements are described in the section. The results
211 of these three phases allow for the compilation of the life cycle inventory, described in Section 2.4.



212

213

Figure 5. Procedure for parametric modelling of the solution set.

214 **Building Energy Simulation**

215 The building simulation models are built to assess the building energy performance throughout one whole year,
 216 with a default time-step of 5 minutes. The building operational energy is derived by accounting for total energy
 217 demand due to the following main contributions:

- 218 • Domestic Hot Water (DHW)
- 219 • Space Heating (SH)
- 220 • Space Cooling (SC).

221 Accordingly, considering all thermal losses, the useful thermal energy provided to the building-end users (DHW
 222 + SH + SC) is calculated (Q_{th_user}). Q_{th_TE} is the thermal energy generated by the generator (e.g., Q_{th_HP} if
 223 the power generator is a heat pump) for DHW, SH and SC. Furthermore, the final energy uses (Q_{el}) is derived.

224 This includes:

- 225 • appliances (APL),
- 226 • lighting (LGT),
- 227 • ventilation (VEN),
- 228 • distribution (DIS)
- 229 • auxiliary energy (AUX).

230 The building energy simulation activities can be divided into three main sub-phases. Each simulation consists
 231 of 3 different energy simulations. A preliminary one serves for the derivation of heat pump power and is carried

232 out in ideal conditions (constant setpoints for heating and cooling) without considering the energy system
233 information, but only building geometry, stratigraphy, and climatic data. In this simulation, information
234 regarding the thermal load of the building is collected, and the nominal heat pump power can be defined. The
235 heating and cooling storage volume is sized based on heat pump power, while standard sizing is considered for
236 the DHW storage. Pipework and pumps are modelled based on building loads and the heat pump's previous
237 sizing. Afterwards, a first simulation is run by considering a first solution set, which does not entail photovoltaics
238 and batteries for energy gains. Based on this energy simulation results, it is possible to derive PV surfaces (m²),
239 power (kWp) and battery capacities (kWh). The sizing of the PV system and battery was performed through a
240 tool that optimises both techno-economic and energy indicators, such as self-consumption and self-sufficiency,
241 considering the goal of reaching a PEB building [30].

242 The final solution set is modelled by considering building and envelope information, solution set type and
243 climate data. The climatic context uses climatic data taken from Meteonorm [31]. This database allows for
244 considering future weather forecasts to account for future climatic variations and reduce uncertainties due to
245 climate change. Information related to the building envelopes is also considered according to national
246 regulations. If applied to the building system, external venetian blinds are considered shading systems and a
247 Rule-Based control strategy is used to regulate the solar radiation entering the building. This depends on the
248 indoor temperature, solar radiation, and occupancy. Natural ventilation is also modelled as complementary to
249 mechanical ventilation. Ceiling fan use is considered by shifting the indoor air temperature setpoint during mid-
250 season and summer, according to the work of Babich et al. [32]. The heat pump's performance is assessed
251 according to performance maps provided by the manufacturer. According to standard EN 14511-3 [33], the
252 maximum allowed uncertainty for the declared heating or cooling capacities is 5%. Finally, since the energy
253 simulation results depend on the control strategy applied to the system, their variations impact the energy
254 simulation results and the following LCA and LCC analyses. To reduce this uncertainty, the authors selected the
255 setpoints according to technical standards and typical values of the different geo-clusters. The control is kept as
256 simple as possible, using only setpoints and dead bands to define the hysteresis.

257

258 **User energy profile modelling**

259 The user behaviour is implemented through different profiles for occupancy, plug loads and lighting usage by
260 applying a stochastic approach, as presented by Zambrano et al. (2021) [34]. This model considers the open-
261 access database developed within the International Energy Agency Energy in Building and Community (IEA-
262 EBC) Annex 79, "Occupant-centric building design and operation", and allows for the generation of stochastic
263 occupant behaviour profile sets to represent the internal gains for the dwelling in the dynamic simulations.
264 Accounting user behaviour ensures a more realistic assessment of operational energy consumption energy. In
265 the study, energy consumption due to e-mobility is not included. In fact, these are deemed energy consumption
266 external to the building's physical boundaries, and they are considered an additional energy carrier. Furthermore,
267 vehicle consumption is related to the number of vehicles and the extent of travel, which may vary considerably
268 for different users [35,36]. Lastly, even though an e-vehicle charge can provide flexible options to the building,
269 an in-depth investigation of charging behaviour patterns and the overall charging infrastructure is needed.

270 Table 3 presents the results of the building energy simulation and user energy profile derivation activities. The
 271 estimated energy credits (positive value) are summed to the derived total energy demand (negative value). When
 272 the calculated total energy balance is *positive*, the functional system produces more energy than its demand.
 273 Therefore, it is aimed at PEBs.

274 **Table 3.** Operational energy contributions simulated. Specifications.

Contributions for B6	Description
Qth_user	Thermal losses
Qth_HP	Thermal energy generated for DHW, SH and SC
Qel_APL	Appliances
Qel_LGT	Lighting
Qel_VEN	Ventilation
Qel_DIS	Distribution
Qel_aux	Auxiliary energy
PV pre-sizing	Estimation in terms of power and surface
Total energy demand	Energy demand (negative)
PV credits	Energy credits (positive)
Energy balance	Total energy demand + PV credits

275
 276 To reduce uncertainties related to building energy simulation results, the buildings' models were developed with
 277 the help of TRNSYS v.18.02 [37]. This tool is considered a white box model, thus ensuring more accurate results
 278 than grey or black box models [38,39]. This software is widely recognised for its accuracy in energy simulations.

279 **2.4 Compilation of Bill of Material and data requirements for LCA and LCC analyses**

280 Data collection for solution sets follows technologies-related conditions and the Bill of Materials (BoM)
 281 provided by solution set designers. These data should be defined according to geographical representativeness,
 282 technical representativeness, and time representativeness.

283 The agreement between LCA, LCC experts, and designers aims to specify parameters for LCA and LCC analyses
 284 based on dynamic building energy simulations, as described in the previous sections. These, in turn, facilitate
 285 matching between the information provided by the design of solution sets and the available environmental
 286 [40,41] and cost datasets (see Table 4).

287 **Table 4.** Solution sets' components. Data requirement for the compilation of Bill of Materials based on parametric modelling.

Component	Technical specifications	Parameter provided for LCA	Parameter provided for LCC
PWG	PWG type (heat pump, boiler), Specify destination (heating, DHW)	<ul style="list-style-type: none"> • Power (in kW) • items 	<ul style="list-style-type: none"> • Power (in kW)
TES	<ul style="list-style-type: none"> • Storage Material • Storage Containment material • Insulation material • eventual information on Heating/Cooling buffer and its insulation 	<ul style="list-style-type: none"> • Storage volume (containment estimated based on previous works) • Insulation material amount • Buffer volume + estimation on insulation 	Included in PIP
DISTR	Type (fan coils, radiators, etc)	<ul style="list-style-type: none"> • Items • (if fan coils) power • (if underfloor heating) surface 	Surface-specific values of a typical installation
VEN	Ventilation system description	Further information on capacity	Surface-specific values for typical installation
PIP	<ul style="list-style-type: none"> • Pipes material • insulation material for pipework 	Ø x amount x length (ex. ø38mm x 10m)	Surface-specific values of typical installation, including TES
PV+ Battery	Assumed: Average technology with battery, sized to provide a positive balance	Surface estimations	<ul style="list-style-type: none"> • installed power • capacity of the battery
HMS	Included for energy simulations	Not considered	Surface-specific values

288

289 Product-specific datasets, e.g., EPDs, are preferred as a basis of the assessment. The cost parameters are surface-
290 specific and include not only the material costs but also the costs for installation in the building. These account
291 for the fact that both cost shares, material costs and installation costs, are country-specific. Since companies do
292 not usually report the cost shares separately, the cost can be presented only in aggregated matters. The surface-
293 specific costs are based on the designer's experience from recently realised projects.

294 The total energy demand is quantified for the use stage based on Table 3, and the PV energy credits are provided.
295 These parameters are afterwards matched with information regarding the national energy grid's environmental
296 profile and costs (see Table 5). In this work, for LCA, the environmental profile of the energy mix is considered
297 variable: its variations refer to the EU Scenario 2020 [26] and the estimated decarbonisation rates of each country
298 considered. For cost analyses, a national price increase of 1.0% and a discount rate of 1.0% are considered. When
299 comparing the variants, it is not primarily the absolute amount of the total annual costs that is decisive, but rather
300 the resulting difference between the variants. The assumption of discount rates depends on the current interest
301 rate situation and its forecast; therefore, it fluctuates greatly depending on individual assessments and the
302 economic situation. For this reason, a low value of 1% is selected for the discount factor. A variation of this rate
303 will not affect differences between the two solution sets since the capital costs increase as the discount rate
304 increases. The same applies to higher price increase rates. If a higher price increase is assumed for energy prices
305 compared to the general price increase, the share of energy costs increases. Absolute values change, but
306 differences between several options will not be affected.

307 **Table 5.** Energy balance. Technical specifications and parameters were provided for LCA and LCC analyses.

Energy balance	Technical specifications	Information for LCA	Information for LCC
Total energy demand	kWh/m ² *y Positive value	<ul style="list-style-type: none"> • Environmental profile of the national energy grid[40,41] 	<ul style="list-style-type: none"> • National energy prices for standard basic tariffs
PV Credits	kWh/m ² *y negative value	<ul style="list-style-type: none"> • Decarbonisation rates based on [26] for dynamic assessment 	<ul style="list-style-type: none"> • Price increase
Energy balance	Total energy demand + PV credits		

308
309 Data collected for the 16 case studies are presented in two dedicated annexes (Annex 1. Data collection for LCA
310 and LCC analyses and Annex 2: Comprehensive results of dynamic simulations for LCA and LCC analyses.

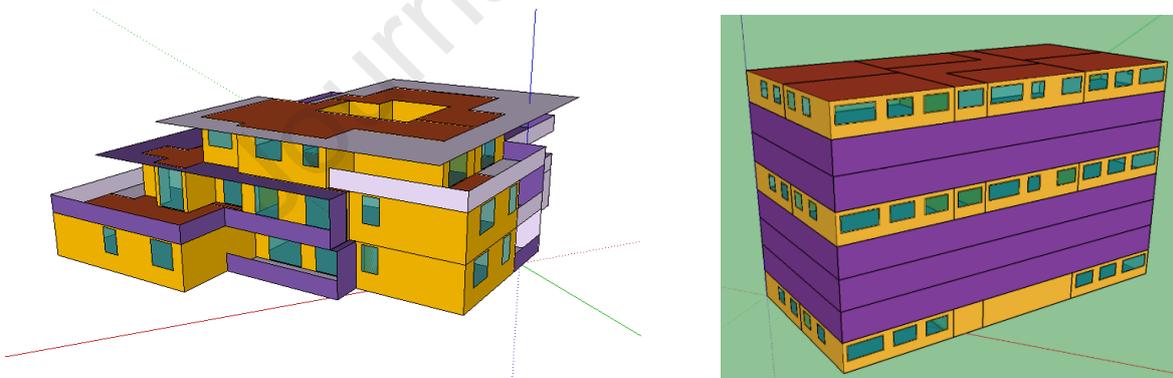
311 **3 Case study**

312 In this section, the case studies are described to specify the goals and scope of the analyses and to perform the
313 compilation of the life cycle inventory (LCI).

314 **3.1 Goal and scope of the analyses**

315 Two building archetypes were considered: a low-rise and a high-rise building, representing the two main
316 typologies of multi-family residential buildings in the European building stock.

317 The low-rise building is a 3-storeys building with 7 apartments (total net area of 663 m²). Each apartment is
318 divided into two thermal zones (day and night). The average temperature of the two zones is used to activate the
319 heating and cooling units (Figure 6).



320 **Figure 6.** Low-rise (left) and high-rise (right) building archetypes.

321 The high-rise building is a 7-storey building with 6 apartments for each floor except for the ground floor, which
322 has 4 flats (total net area of 2912 m²). Each apartment is considered a single thermal zone with one thermostat
323 used to activate the heating and cooling units.

324 Two solution sets are identified to represent the two main typologies of technological solutions: one centralised
325 and one decentralised technical installation concept (Table 6). These solution sets are derived from designers'
326 experience and everyday praxis.

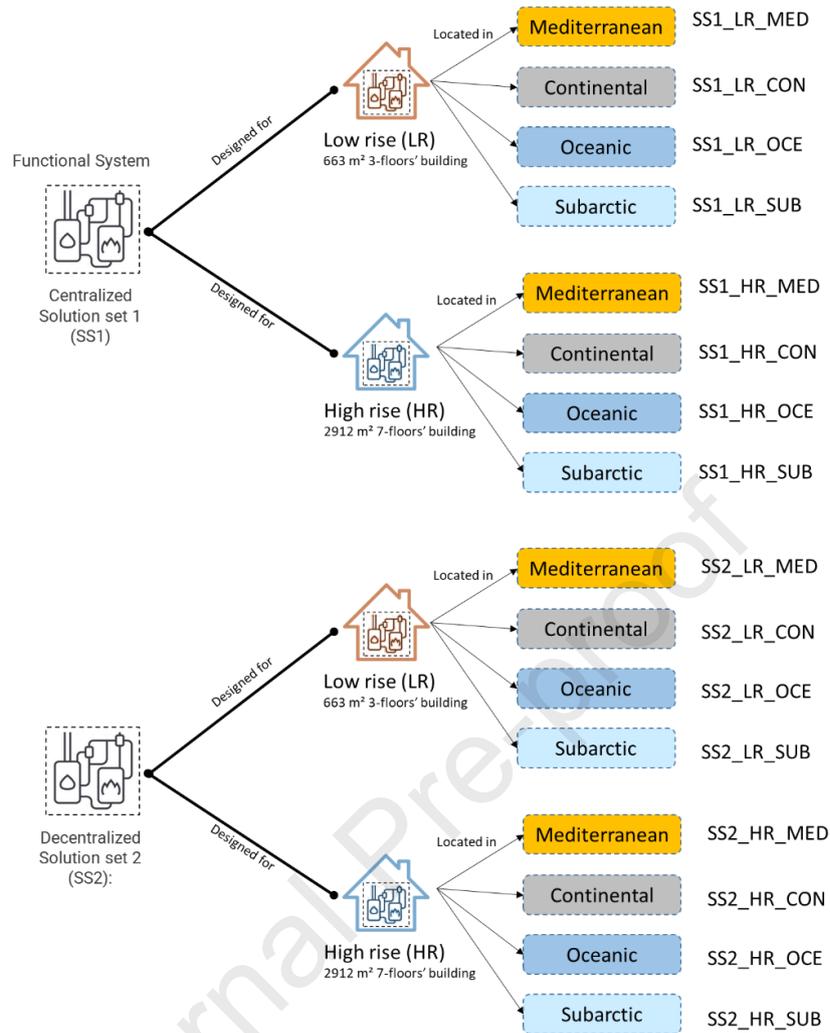
327 **Table 6.** Solution sets description and technologies' specifications.

System/service	Technology	
	Solution set 1 (centralised)	Solution set 2 (decentralised)
Ventilation (VEN)	Decentralised ventilation system	
Power Generator (PWG)	Centralised Heat Pump unit	Compact decentralised Heat Pump unit
Space heating (SH)		
Space cooling (SC)		
DHW		
Thermal energy storage (TES)	Stainless steel storage with XPS insulation and buffer.	
Air movement	Ceiling fan	
Pipework, Valves, Circ. Pumps, Heat Exchanger (PIP)	Copper pipelines with XPS insulation: stainless steel valves, circulation pumps and heat exchanger	
Photovoltaics system, battery (PV)	Monocrystalline silicon cells; Lithium iron phosphate (LiFePO ₄) battery	

328
329 The modelled energy systems comprise the following elements: an air-to-water heat pump that generates heating
330 and cooling, a water-based thermal energy storage system for DHW and a buffer tank to provide thermal inertia
331 for space heating and cooling (see Annex 1. Data collection for LCA and LCC analyses).
332 Each of the designed concepts is analysed in 4 reference cultural-climate contexts, i.e.:

- 333 • Mediterranean (Italy – IT),
- 334 • Continental (Germany – DE),
- 335 • Oceanic (France – FR)
- 336 • Subarctic (Norway – NO).

337 In total, 16 case studies are collected and summarised in Figure 6, together with the acronyms that are
338 consistently used in this work.



339

340

Figure 7. Overview of the collected case studies.

3.2 Dynamic building energy simulation: Specifications provided for presented case studies.

For dynamic building energy simulations, the established profiles are differentiated for the different geo-clusters following cultural and constructive aspects, according to Figure 6.

Building envelope characteristics are consistently tuned based on their respective geo-cluster regulations (Table 7). These refer to the transmittance (U-Value) of external walls, roof, ground floor, and windows required in the Italian, German, French, and Norwegian design regulations, respectively.

Table 7 Building envelope characteristics. U-value parameters for each geo-cluster.

Geo-cluster	Reference Country	U-VALUE External Wall [W/m²K]	U-VALUE Roof [W/m²K]	U-VALUE Ground floor [W/m²K]	U-VALUE windows [W/m²K]
Mediterranean	Italy	0.18	0.12	0.12	2.89
Continental	Germany	0.13	0.09	0.11	1.12
Oceanic	France	0.25	0.12	0.25	1.3
Sub Artic	Norway	0.10	0.08	0.09	0.76

348

In Table 8, the characteristics of PV systems are outlined. These refer to the required nominal power, the panels' slope, and the number of necessary panels. The three characteristics are specified for the panels installed on the

350

351 roof and southeast (SE) and southwest (SW) façades. Finally, an estimation of the capacity of installed batteries
 352 is provided.

353 **Table 8** Characteristics of the PV system (nominal power, slope, and number of panels).

	unit	Mediterranean		Continental		Oceanic		Sub-Artic	
		LR	HR	LR	HR	LR	HR	LR	HR
Nominal power roof	[kW]	17.7	34.0	17.7	34.0	17.7	34.0	17.7	34.0
The slope of the panels' roof	[°]	37.0	44	37.0	44	38.0	44	44.0	44
Number of panels on the roof	[-]	77	148	77	148	77	148	77	148
Nominal power SE façade	[kW]	9.4	62.5	9.4	65.9	9.4	68.0	8.5	68.0
Panels on SE façade	[-]	41	272	41	287	41	296	37	296
Nominal power SW façade	[kW]	5.5	0	5.1	39.7	5.5	39.7	5.5	39.7
Panels on SW façade	[-]	24	0	22	173	24	173	24	173
Nominal power NW façade	[kW]	-	39.7	-	0	-	67.3	-	65.3
Panels on NW façade	[-]	-	173	-	0	-	293	-	284
Capacity of the battery	[kWh]	46.0	48.7	42.7	128.5	63.6	108.0	16.2	167.0

354
 355 The different building surface area to volume ratio (S/V) implies different thermal behaviours. Moreover, the
 356 specific roof surface, normalised to the total surface area of the dwellings in the high-rise building, is smaller
 357 compared to low-rise if normalised to the total surface area of all the houses, so PV integration in the high-rise
 358 building roof is limited, with relevant implications on essential KPIs such as the self-sufficiency and the self-
 359 consumption. The stochastic approach outlined in Section 2.3 is used to model the occupant behaviour,
 360 particularly for the appliances' energy consumption and, consequently, the relative internal gains [34].

361 4 Results and Discussion

362 The results presented here follow the approach outlined in Section 1 and Figure 1. Results are first presented for
 363 each domain and then coupled. The results' clustering and the final solution set evaluation are presented in
 364 Section 4.4.

365 4.1 Dynamic building energy simulation

366 Table 8 provides results from dynamic energy simulations for the 16 case studies. Values marked with green
 367 indicate negative energy balances (energy KPI), denoting cases aiming at PEBs. Values marked in orange refer
 368 to case studies in which PV credits did not cover the whole building's energy demand. Other surfaces aimed at
 369 the PV module must be spotted for these specific cases.

370 Results of the dynamic simulation demonstrate that for only 5 out of 16 case studies, PEBs' performance is
 371 potentially reached. These refer to case studies that have centralised solution sets for low-rise buildings. In the
 372 case of decentralised solution sets, PEBs' performances are achieved only for Mediterranean low-rise buildings.
 373 This is primarily due to the high PV credits coming from high solar yields. Regarding the results for SS1, despite
 374 similar PV credits, Oceanic and Subarctic cases have higher total energy demands, which consequently affects
 375 the total energy balance. In the Subarctic case, the higher total energy demand is caused by a much higher space
 376 heating demand due to a colder climate and a higher temperature setpoint used in the simulation [42] [43].

377 **Table 9.** Energy simulation results for the 16 case studies.

Energy simulation results (kWh/m ² *y)			Mediterranean (IT)	Continental (DE)	Oceanic (FR)	Subarctic (NO)
SS1	LOW RISE	Total energy demand	-52	-53.6	-61.8	-62.5
		PV credits	+72.35	+72.42	+72.38	+72.45
		Energy balance	+20.4	+18.8	+10.6	+10.0
	HIGH RISE	Total energy demand	-42.2	-44.6	-62.7	-53.1
		PV credits	+36.28	+36.26	+36.24	+36.28
		Energy balance	-5.9	-8.3	-26.5	-16.8
SS2	LOW RISE	Total energy demand	-58.39	-64.89	-69.30	-78.42
		PV credits	+61.80	+48.07	+40.24	+41.66
		Energy balance	+3.4	-16.8	-29.1	-36.8
	HIGH RISE	Total energy demand	-54.5	-63.3	-71.6	-74.7
		PV credits	+46.26	+41.01	+45.00	+45.96
		Energy balance	-8.2	-22.3	-26.6	-28.7

378
379 In the Oceanic climate, the higher total energy demand is mainly caused by a higher energy consumption of the
380 appliances. From the stochastic approach used to define the occupancy profile of the building and the energy
381 consumption due to lightning and appliances, it emerged that in the Oceanic case, the energy consumption due
382 to the appliances is about 30% higher than in the other climates. On the one hand, this increases the total energy
383 consumption of the building; on the other hand, this increases the space cooling demand and decreases the space
384 heating demand of the building because part of this consumption is transferred as heat to the building.
385 Nevertheless, the net effect is an increase in the total energy consumption of the building [43].

386 4.2 LCA Analyses – Environmental KPIs

387 As shown in the LCA results of Table 10, constructive aspects and embodied impacts can significantly influence
388 the overall life cycle impact assessment (LCIA), and photovoltaic modules are the main contributors. By
389 accounting for embodied impacts only, HR buildings perform better regarding environmental profile. This is
390 due to an optimised installation of components and a larger NFA for a given building footprint. Cases with SS2
391 have slightly higher environmental impacts due to the higher number of technical components, such as heat
392 pumps.

393 Operational impacts related to energy demand only are higher in continental/subarctic climate areas and low-
394 rise buildings. High-rise buildings present in this respect also optimised thermal energy and electricity
395 consumption. However, due to a higher number of potential users and a more limited roof surface, they should
396 use other surfaces, aiming at a positive energy balance. This is also valid for most decentralised concepts, in
397 which only the low rise in the Mediterranean context reaches a positive energy balance (Table 11).

398 **Table 10.** Results of LCA analyses for the 16 case studies. Global Warming Potential (GWP 100 ys in kg CO₂) – Embodied
399 impacts.

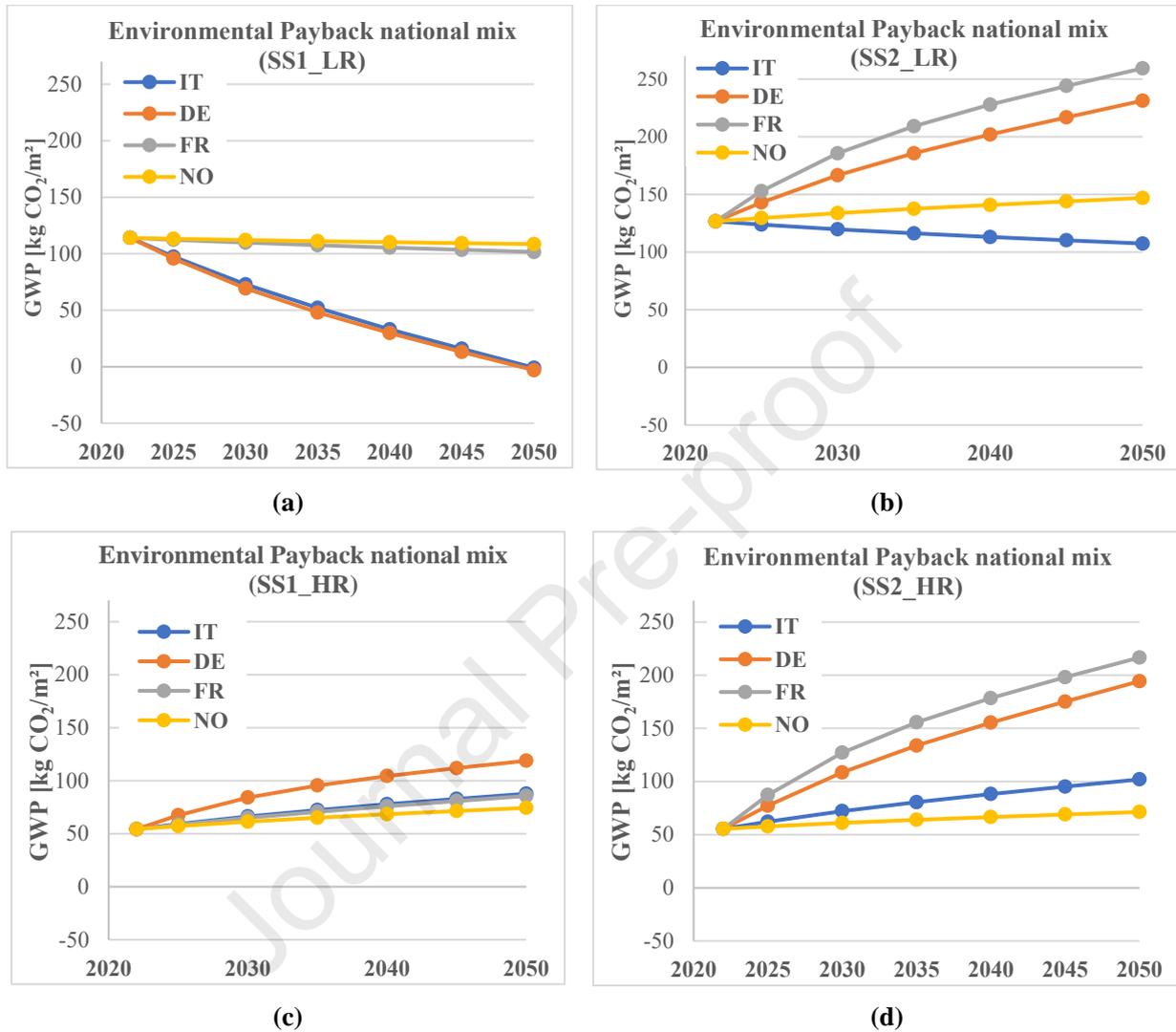
Component		LR	HR
		GWP [kg CO ₂ eq.]	GWP [kg CO ₂ eq.]
SS1	PWG	1057.71	1.60
	TES	891.81	1.35
	DIS	84.46	0.13
	PW	3152.08	4.75
	VEN	4212.40	6.35
	Tot. embodied [impact/m²]	111.8	57.36
	Tot. embodied [impact/m²*y]	3.802	1.811
SS2	PWG	2423.81	3189.49
	TES	1185.52	5884.73
	DIS	113.59	507.65
	PW	4691.00	5959.98
	VEN	627.85	177.27
	Tot. embodied [impact/m²]	126.6	55.49
	Tot. embodied [impact/m²*y]	4.221	1.850

400
401 **Table 11.** Results of LCA analyses for the 16 case studies. Global Warming Potential (GWP 100 ys in kg CO₂) – Operational
402 impacts.

National Electricity mix	Mediterranean		Continental		Oceanic		Subarctic	
	(IT)		(DE)		(FR)		(NO)	
[kg CO₂ eq./kWh]	0.285		0.337		0.057		0.027	
SS1	LR	HR	LR	HR	LR	HR	LR	HR
[kg CO₂ eq./m²*y]	-5.80	1.69	-6.34	2.81	-0.60	1.51	-0.31	0.52
SS2	LR	HR	LR	HR	LR	HR	LR	HR
[kg CO₂ eq./m²*y]	-0.97	2.35	5.67	7.52	1.66	1.52	0.99	0.77

403
404 As the LCA results prove, PV modules are expected to contribute mainly to the total assessed solution sets'
405 embodied impact. Therefore, the environmental performance of PEB's solution sets should be evaluated with
406 respect to their production of renewable energy. Regarding environmental impacts and GHG emissions, they
407 allow credits by avoiding emissions related to energy consumption from non-renewable sources in the national
408 energy mix. In Figure 8, PB periods are computed by considering dynamic national reference scenarios [26]. In
409 Figure 9, these are calculated by considering an average European scenario [26]. For both figures, cases a) and
410 b) report results for low-rise buildings, with SS1 and SS2, respectively. Cases c) and d) show results for high-
411 rise buildings with SS1 and SS2.
412 Over 30 years of service life, cases reporting negative operational impacts can reduce their total initial
413 environmental investment. Such an investment can, in some cases, even be paid back in the next 30 years. This
414 occurs in the Italian and German examples with centralised solution sets in low-rise buildings. Despite their
415 negative operational energy balance, Norwegian and French cases cannot significantly reduce their

416 environmental profile. This is due to their national energy grid, which has a hydropower and nuclear baseline.
 417 Both energy sources present low carbon intensities, and, in this sense, PV installation does not provide high
 418 advantages to the national energy mix's CO₂ environmental profile.
 419

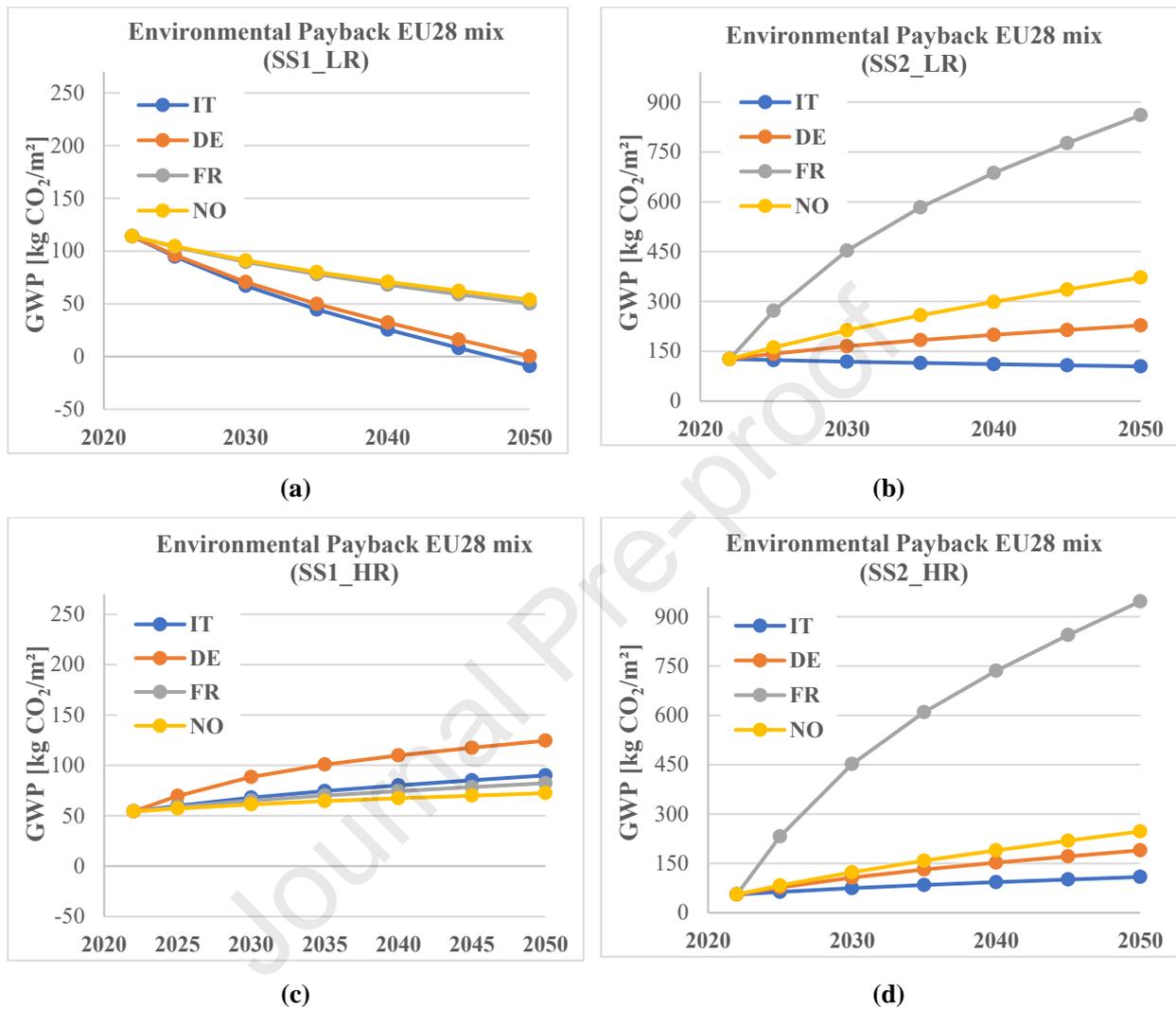


420 **Figure 8.** Environmental Payback (PB) period of a) centralised solution sets (SS1) and b) decentralised solution sets (SS2) based
 421 on the environmental profile of the national energy mix.

422 When both SS1 and SS2 are installed in high-rise buildings, a significant increase in emissions is recorded over
 423 the period considered, especially for the German demo case, due to lower energy credits and carbon-intensive
 424 energy mix. In Figure 8 d), the French example also presents high lifecycle impacts due to increased energy
 425 consumption. Italian and Norwegian high-rise buildings with the two different solution sets do not show
 426 significant variations.

427 Supposing the same solution sets allocated in the same geographical context but with an average energy mix
 428 production, CO₂ eq. reductions increase significantly (see Figure 9. a). Regarding results for decentralised
 429 systems, in this case, the Italian demo case can slightly decrease their initial environmental impact. In contrast,
 430 this value can increase significantly for other cases, such as German and French cases. In Germany, this is due
 431 to the carbon intensity of the national grid, while in France, this is due to high energy consumption. In fact, by

432 considering the average European mix instead of their national one, the French demo can further increase its
 433 total GWP, while for the German demo case, such a variation is still like the previous one. The Norwegian demo
 434 case can also show slight variation when calculated with the national grid.
 435



436 **Figure 9.** Environmental Payback (PB) period of a) centralised solution sets (SS1) and b) decentralised solution sets (SS2) based
 437 on the environmental profile of an average European energy mix.

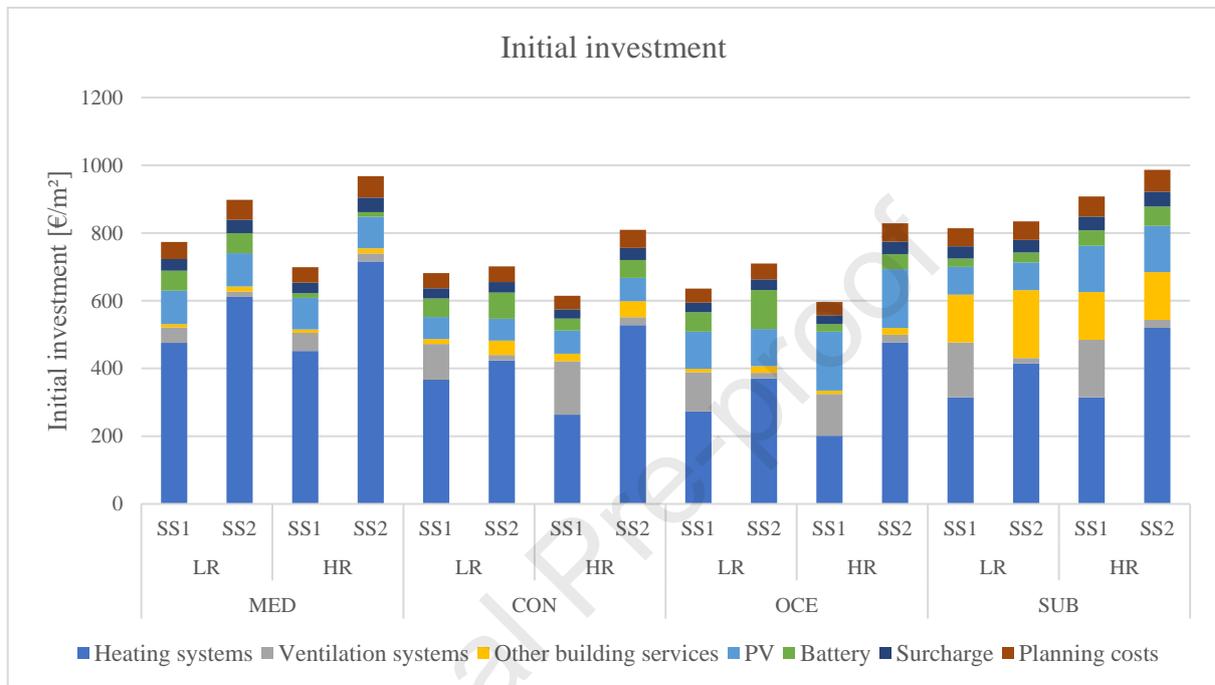
438 In the hypothesis of an average energy mix production, the total GWP will consequently increase. Concerning
 439 results for HR buildings, there are no cases in which emissions reductions are recorded. All examples increase
 440 their impacts over time.

441 4.3 LCC Analyses – Economic KPIs

442 First, the initial investment cost analysis of the solution sets shows that prices are country-specific. Further, it
 443 can be noticed that, especially for high-rise buildings, the cost for SS2 is significantly higher than that of SS1,
 444 as seen in Figure 10. The reason for this lies in the decentralised concept approach of SS2, in which the heat
 445 pumps and the battery are installed separately for each apartment. In addition to the investment costs, the costs
 446 for maintenance and servicing are also higher, as instead of one central device, many individual machines must
 447 be serviced in the apartments. Except for the subarctic region, the specific costs with SS1 are lower for high-rise

448 buildings than for low-rise buildings. There is a shift in the cost shares of ventilation and heating, as the
 449 decentralised appliances are assumed to be combined appliances that ensure the heating and ventilation function.
 450 This reduces the costs of the ventilation system. Finally, cultural (user-related) differences can be seen in the
 451 level of equipment used by HMS. These costs are summarised under "Other building services" and are higher in
 452 the subarctic region.

453



454

455

Figure 10. Initial investment of the studied solution sets in the four regions.

456 Total annual costs are compared in Figure 11 and Figure 12. The capital costs also include replacing the
 457 appliances at the end of their service life. The limited service life of the heat pumps (15 years), the ventilation
 458 units (20 years) and the battery, with a life expectancy of only 10 years, significantly influence the capital cost.
 459 In the LR building, we cannot find a clear difference between SS1 and SS2 in capital cost for heat pump and
 460 ventilation units (30-35%). In contrast, with SS1 of HR-Building, the percentage of capital cost for the heat
 461 pump and ventilation units is about 20% to 30%, while in SS2, it is about 40%. This results in a clear economic
 462 disadvantage for the decentralised variant of SS2 in the HR-Building, as many individual appliances must be
 463 replaced.

464 The different revenues from the marketing of surplus energy are due, on the one hand, to the different electricity
 465 prices of the countries investigated and, on the other hand, to the very different remuneration for grid feed-in
 466 (see Table 12).

Table 12: Electricity prices and remuneration for grid feed-in the four considered locations.

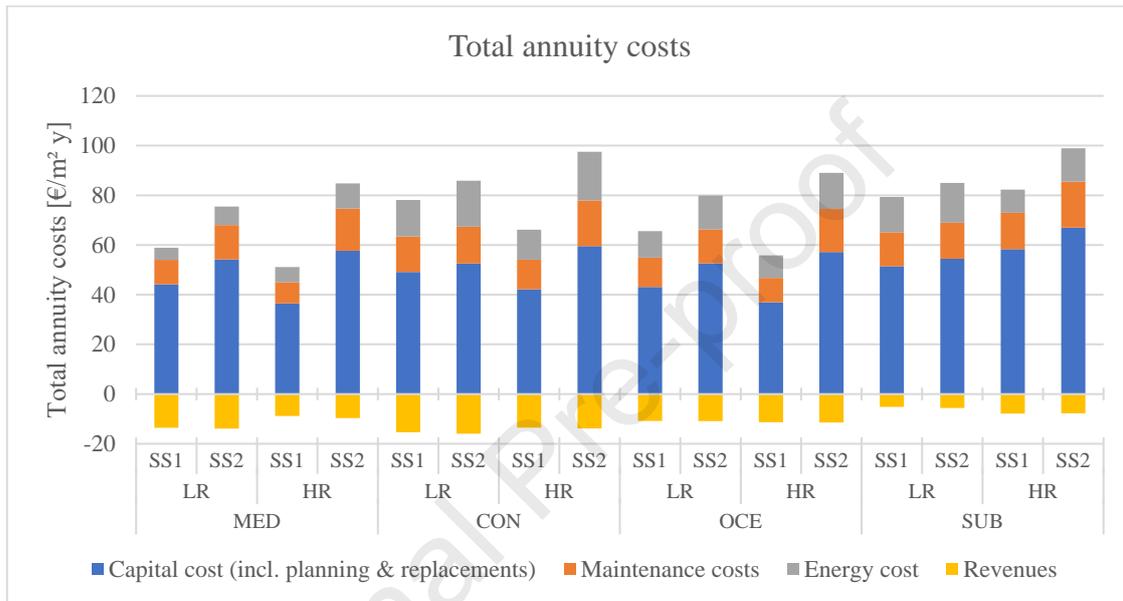
		IT (MED)	DE (CON)	FR (OCE)	NO (SUB)
electricity price	€/MWh	250	380	245	209
remuneration for grid feed-in	€/MWh	50	66	100	5

468

469 In addition to the local differences in energy prices, this reflects the country-specific legal framework conditions
 470 for electricity marketing. In variant SS2, the higher energy demand due to the setting is also reflected in the
 471 prices.

472 If we look at the resulting specific total annual costs, these are lower for SS1 than for SS2 in all cases. For SS1,
 473 the total yearly price is lower in all regions besides the subarctic area for the HR building than for the LR
 474 building. SS2, on the other hand, shows an inverse effect with higher specific total annual costs for the HR
 475 building compared to the LR building.

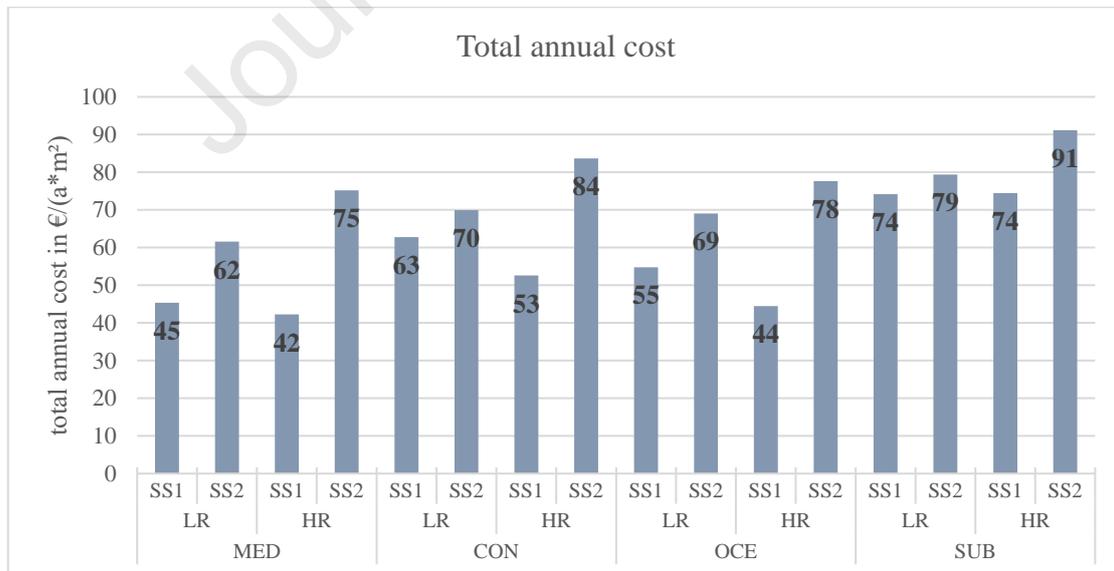
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477

478

Figure 11. Total annual cost (annuity) broken down by group.



479

480

Figure 12. Total annual cost (annuity) considering all costs and revenues.

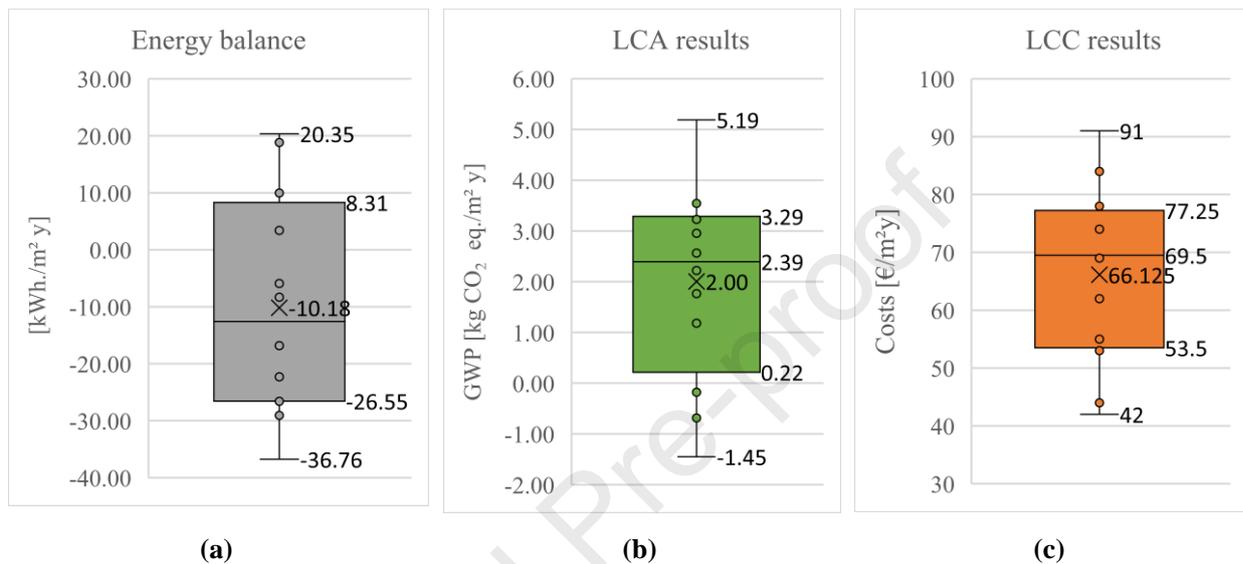
481 4.4 Multi-domain analysis and overall evaluation

482 In the following section, results from energy balance, LCA and LCC are jointly investigated to find the best and
 483 worst cases and identify trade-offs between energy performance and environmental and economic quality. For
 484 the Energy balance, the selected KPI is the total energy balance. For the environmental domain, the annual GWP

485 is used (results of Figure 8 are divided by 30 years of service life). For the economic one, the total yearly cost is
 486 considered (results presented in Figure 12).

487 As a first step, all results coming from each analysis are collected, and statistical records are derived. These are
 488 minimal, maximal, median and average values. Median values are shown with an x marker. The average value
 489 is displayed with an inner line of the square and will be used afterwards for the multi-domain assessment. The
 490 square refers to the range of values between 15% and 85% cumulative probability of occurrence.

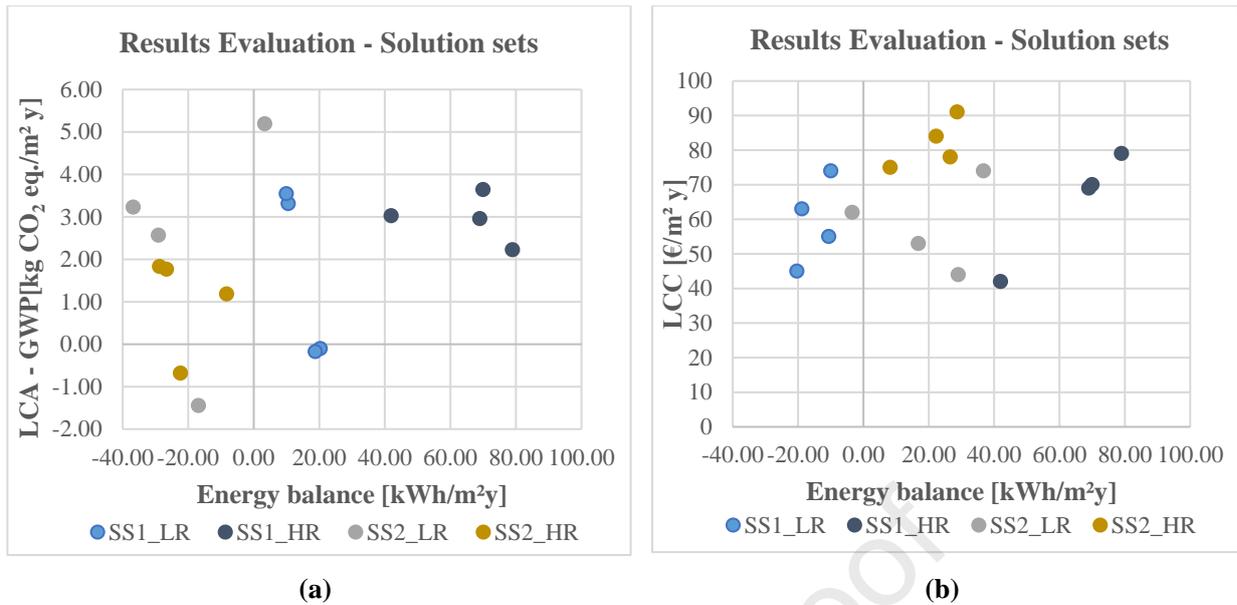
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492 **Figure 13.** Statistical records of multiple case studies investigation. a) Energy balance; b) LCA results (GWP100ys); c) LCC
 493 results (total annual cost).

494 Higher variations are recorded for the results of energy balances and environmental impact analyses. Most of
 495 the examples for energy balances do not reach a positive balance. Despite the high variations, impact values can
 496 be deemed low for environmental studies. As demonstrated in section 4.2, these values can be significantly
 497 reduced using PV modules with lower embodied impacts. Cost analyses (section 4.3) revealed that the potential
 498 for improvement lies in capital and maintenance costs.

499 In Figure 13, the results of energy balances, LCA and LCC are coupled in an X-Y diagram. Results are clustered
 500 in a first instance based on the solution set type (centralised- SS1- or decentralised – SS2) and archetype (LR or
 501 HR building). Figure 13a) couples the results of dynamic simulations with LCA analyses. The diagrams prove
 502 higher advantages for centralised solution sets installed in low-rise buildings and lower benefits for the solution
 503 sets conceived for high-rise buildings. Decentralised systems demonstrate higher advantages in high-rise
 504 buildings.



505 **Figure 14.** Multiple case study investigation. Results coupling of energy balances and a) LCA (GWP100ys) and b) LCC (annual
506 cost) results.

507 The coupled results of energy balances and LCC (Figure 14 b)) also demonstrate higher advantages of centralised
508 systems on low-rise buildings (SS1-LR). However, unlike LCA analyses, decentralised systems have higher
509 advantages when applied to low-rise buildings (SS2-LR). Solutions for high-rise buildings are not economically
510 convenient, especially with decentralised solution sets (SS2-HR). Figure 14 also allows us to understand how
511 operational energy consumption might affect the LCA and LCC. LCC seems to be more affected by the total
512 building energy consumption. In high-rise buildings (SS1_HR and SS2_HR), e.g., the total costs are directly
513 affected by energy balances. This dependency is, while not so evident for LCA, where presumably embodied
514 impacts affect primarily the total lifecycle.

515 Finally, in Figure 15, LCA and LCC are reported in a xy graph. The horizontal brown line shows average costs,
516 while the vertical one (dark green) refers to the average GWP calculated. This is to possibly evaluate the
517 solutions based on the provided clustering.

540 5 Conclusion and Outlooks

541 This work assesses the effectiveness and sustainability of 16 solution sets aimed at PEBs in different climate and
542 cultural contexts in three domains, i.e. energy balance, environmental and economic performance. The analysis
543 considered technical constructive specifications and information derived from dynamic building energy
544 simulations and parametric modelling. This information served to derivate building energy balance and verify
545 whether the system can effectively perform for PEBs. Furthermore, the information derived by the parametric
546 modelling allowed the compilation of a BoM for carrying out LCA and LCC analyses. These estimated
547 environmental and economic KPIs, i.e. embodied and lifecycle GWP, environmental payback periods, and
548 economic value for each defined functional system. A novelty of the work is the consideration of 3 three different
549 domains (energy, environmental and economic performance) separately and jointly to perform holistic
550 assessments, which is still lacking in the literature for PEB systems. User-related energy consumption through
551 stochastic user energy profile modelling is considered, allowing for a more accurate evaluation of the systems'
552 effectiveness and environmental performance.

553 The study contributes to understanding that a positive energy balance, in which energy credits are higher than
554 building energy demand, is not always provided. Strategies for reducing building and user-related energy
555 consumption or increasing energy credits are necessary. Furthermore, the study results suggest that
556 environmental payback through PV environmental credits is not always achievable, even if the systems aim at
557 PEBs. A relevant factor that can increase or reduce environmental advantages is the carbon intensity of the
558 national energy mix. Therefore, in national contexts like France and Norway, PEBs only present advantages
559 limited to the building level. For these cases especially, it is necessary to focus on reducing embodied impacts,
560 which are strongly affected by the grey emissions due to PV production and installation for all instances. Based
561 on all energy performance and environmental and economic KPIs, the study confirms the advantages of
562 centralised solution sets over decentralised ones in new buildings. A higher number of devices to be installed
563 leads to higher maintenance costs and economic disadvantages. The advantages are more significant when
564 applied in low-rise buildings and Italian and German contexts. However, it should be recognised that
565 decentralised systems can present advantages, especially for building retrofit cases, thanks to easier installation
566 and replacement of old systems. They can also better adapt and optimise their behaviour based on the user's
567 needs. Therefore, optimisation strategies to improve energy performance and cost-effectiveness must be
568 investigated.

569 The outlined conclusions are valid under the same conditions and circumstances. In fact, the study assesses two
570 main solution sets with specific and detailed design (LOD 400) in specific contexts. This reduces uncertainties
571 due to designers' choices, input data and data quality, as classified in the work of Warrier et al. [25]. Uncertainties
572 related to future stages and used methods persist. In [44,45], these sources are also called "exogenous" since
573 they cannot be influenced by designers' choices. Some of them, e.g. climate change, are handled using a database
574 [31] that considers future climatic variations. Other sources of uncertainty and their effects need to be addressed
575 in future works. Among them, we can mention variations in heat pump performance, fluctuations in energy grid
576 production and costs for LCA and LCC analyses. To decrease such uncertainties, it will be necessary in future
577 works to use, e.g., accurate performance maps, develop more advanced models and model further scenarios.
578 Lastly, it will be necessary to expand the data collected and to enrich the analysis further with, e.g., different

579 archetypes and solution sets' components, allowing for more robust results of dynamic building energy
580 simulations.

581
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584 **Data availability statement:** Complete datasets generated during the current study are available from the
585 corresponding author upon reasonable request.

586 **Conflicts of Interest:** The authors declare no conflict of interest.

587
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589 H.L.; **Writing - original draft preparation:** R.D.B., F.T., H.L.; **Writing - review and editing:** F.I, B.A.;
590 **Funding Acquisition:** B.A.; **Supervision:** R.D.B., B.A.

591

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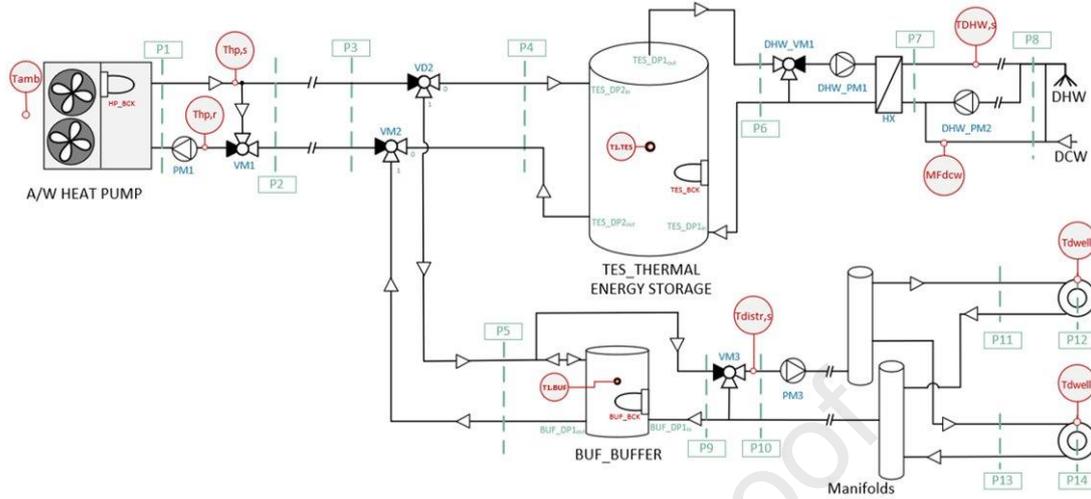
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- 712

713 **Annex 1. Data collection for LCA and LCC analyses**

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Figure 16. Exemplary schematic representation of a solutions set.

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718 **Table 13.** Data collection for SS1. Case Low Rise (LR) and High Rise (HR) buildings.

Component	LR	HR	
		Total	Total
PWG [unit]		1	1
TES [unit]		1	1
VEN [unit]	3 units* apartment	21	3 units* apartment 60
DISTR [items]	38 IT, 39 DE, 36 FR, 44 NO		121 IT, 115 DE, 137 FR, 103 NO
PIP	1300 kg copper 0,2 m ³ XPS		3000 kg copper 0,5 m ³ XPS
PV [m ²]		240	528

719

720 **Table 14.** Data collection for SS2. Case Low Rise (LR) and High Rise (HR) buildings.

Component	LR	HR	
		Total	Total
PWG [unit]		7	20
TES [unit]		1	1
VEN [unit]	3 units* apartment	21	3 units* apartment 60
DISTR [items]	38 IT, 39 DE, 36 FR, 44 NO		121 IT, 115 DE, 137 FR, 103 NO
PIP	1300 kg copper 0,2 m ³ XPS		3000 kg copper 0,5 m ³ XPS
PV [m ²]		240	528

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723 **Annex 2: Comprehensive results of dynamic simulations for LCA and LCC analyses**

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725 **Table 15.** Dynamic energy simulation results. Case SS1, Low Rise.

LOW RISE(kWh/m²)	Mediterranean (IT)	Continental (DE)	Oceanic (FR)	Subarctic (NO)
Qth_user	36.9	33.3	35.3	85.5
Qel_HP	14.1	14.4	13.3	17.7
Qth_HP	47.8	41.1	46.3	48.1
Qel_APL	29.7	31.3	39.6	34.8
Qel_LGT	0.9	0.7	1.2	1.1
Qel_VEN	3.7	3.7	3.7	3.7
Qel_CF	1.1	0.7	1.3	0.8
Qel_aux	0.4	0.5	0.4	1.1
Qel_FNC	2	2.2	2.3	3.3
Total energy demand	-52	-53.6	-61.8	-62.5
PV surface (m²)	172.5	177.6	204.9	207.0
PV credits	+72.35	+72.42	+72.38	+72.45
Energy balance	+20.4	+18.8	+10.6	+10.0

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728 **Table 16.** Dynamic energy simulation results. Case SS1, High Rise.

HIGH RISE (kWh/m²)	Mediterranean (IT)	Continental (DE)	Oceanic (FR)	Subarctic (NO)
Qth_user	28.9	27.1	34.4	31.9
Qel_HP	9.8	10.3	10.3	12.8
Qth_HP	36.2	31.7	44.1	37.3
Qel_APL	23.6	26.9	35.6	30.6
Qel_LGT	1.1	0.8	1.9	1.4
Qel_VEN	2.4	2.4	2.4	2.4
Qel_CF	1.6	1.1	1.7	1
Qel_aux	2.4	1.8	8.3	3
Qel_FNC	1.4	1.3	2.5	2
Energy demand	-42.2	-44.6	-62.7	-53.1
PV surface (m²)	614.14	649.43	913.48	772.84
PV credits	+36.28	+36.26	+36.24	+36.28
Energy balance	-5.9	-8.3	-26.5	-16.8

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732 **Table 17.** Dynamic energy simulation results. Case SS2, Low Rise.

LOW RISE (kWh/m²)	Mediterranean (IT)	Continental (DE)	Oceanic (FR)	Subarctic (NO)
APL	29.70	31.33	39.64	34.85
LGT	0.94	0.72	1.15	1.11
VENT+CF	4.31	3.62	4.11	3.60
PMP+FNC	2.33	3.59	2.39	6.33
HP_DHW	14.52	16.00	15.35	17.12
HP_SH	2.87	7.62	4.22	13.62
HP_SC	1.58	0.01	0.31	0.00
Total energy demand	-58.39	-64.89	-69.30	-78.42
PV surface (m²)	240	240	240	240
PV credits	+61.80	+48.07	+40.24	+41.66
Energy balance	+3.4	-16.8	-29.1	-36.8

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734

735 **Table 18.** Dynamic energy simulation results. Case SS2, High Rise.

HIGH RISE (kWh/m²)	Mediterranean (IT)	Continental (DE)	Oceanic (FR)	Subarctic (NO)
APL	23.6	26.9	35.6	30.6
LGT	1.1	0.8	1.9	1.4
VENT+CF	3.1	2.4	3.3	2.3
PMP+FNC	4.9	5.4	5.5	6.5
HP_DHW	17.3	19.6	19.9	20.1
HP_SH	3.3	8.0	4.1	13.8
HP_SC	1.3	0.0	1.4	0.0
Total energy demand	-54.5	-63.3	-71.6	-74.7
PV surface (m²)	528	528	528	528
PV credits	+46.26	+41.01	+45.00	+45.96
Energy balance	-8.2	-22.3	-26.6	-28.7

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Highlights

- Energy, environmental and economic analysis of Plus Energy Buildings installations
- Limited energy credits for most case studies
- Higher advantages for centralised functional systems
- Environmental and economic advantages dependent on the national context
- Coupling of PV and heat pump in cold climates to be improved

Journal Pre-proof

Declaration of interests

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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